

## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: James M. Yates

GROUP:

SERIAL NO.: 10/591,416

EXAMINER:

FILED: Sept. 1, 2006

PCT APPL. NO.: US05/07102

DOCKET NO.: YATJ 8888W1

FILED: 3/4/05

FOR: METHOD AND APPARATUS FOR DIGITAL COPYRIGHT EXCHANGE

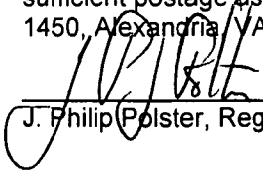
St. Louis, Missouri  
February 27, 2007Mail Stop PCT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO §1.97 AND § 1.98**

Sir:

The attached form PTO-1449, listing information, patents and/or literature references, is being submitted within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. **Therefore, no fee is due.** Copies of any non-US patents or publications is submitted herewith.

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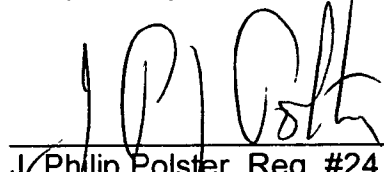
  
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J. Philip Polster, Reg. #24,739

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /F.N./

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account 162201. *Duplicate copies of this sheet are enclosed.*

Respectfully submitted,

Date: February 27, 2007



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<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.:</b> YATJ 8888W1	<b>SERIAL NO.:</b> 10/591,416 <b>PCT #:</b> US05/07102
	<b>APPLICANT:</b> James M. Yates	
	<b>Filing Date:</b> 9/1/06 <b>PCT Filed:</b> 3/4/05	<b>Group:</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number												Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name
	US	2	0	0	5	0	0	0	4	8	7	6	AI	01/05	Pou et al
	US	2	0	0	3	0	0	9	7	2	9	9	AI	05/03	O'Kane et al
	US	2	0	0	3	0	0	3	3	2	1	4	AI	02/03	Mikkelsen et al
	US	2	0	0	4	0	1	2	8	5	1	4	AI	1/7/04	Rhoads
	US	2	0	0	3	0	1	0	5	7	1	8	AI	5/6/03	Hurtado et al
	US														
	US														
	US														
	US														
	US														
	US														

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number										Date	Country	Translation	
													Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

		International Search Report and Written Opinion for International Application Number PCT US05/07102, dated 04 March 2005 (11 pages)

<b>EXAMINER</b> /Freda Nelson/	<b>DATE CONSIDERED</b> 08/19/2009
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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